HKiB The Hong Kong Institute of Bankers 香港銀行學會

FLEX LEARNING POWERED BY THE HKIB

Risk-Based Approach for AML Compliance Programme

Programme Code: HGCP00P24122

17 December 2024 (Tuesday) 12:00nn – 2:00pm

2 HOURS

HKIB ECF SFC
CPD CPD CPT

#philipkamphotography

Programme Outline

This course provides an implementation guidance to the risk-based approach for anti-money laundering (AML) compliance in banks, riding on two standards of proof: beyond reasonable doubt and balance of probability. The common enforcement procedures, including evidence collection, fact reconstruction, risk appetite and judgment, will be explained in detail.

- Risk factors: instrument, customer and transaction
- Money laundering risk vs AMLO risk vs compliance risk
- Beyond reasonable doubt and balance of probability
- Evidence collection, fact reconstruction, risk appetite and judgment

Speaker Introduction

The speaker works for a financial technology firm providing advisory services and technology solutions to the crypto assets industry. He is also an Adjunct Professor of City University of Hong Kong, teaching master courses in financial technology and risk management.

The speaker holds the Doctor of Business Administration degree, CFA, CAIA, CAMS, FRM, PRM, CAMS, CFE, MCSE and MCNE qualifications. He is frequently invited by professional organizations to deliver seminars on artificial intelligence and crypto assets.

Target Audience

1. Banking Partitioners
2. Compliance, Regulatory and Frontline Staff
3. HKIB Professional Qualification Holders :

CAMLP AAMLP CCOP(GC) CCOP(IIC) ACOP
CCRP(CL) CCRP(CPM) ACRP ACsP
AGFP(PS) AGFP(ClimRM) AGFP(SDR) AGFP(SSCC) CRWP ARWP
CPFinT(M) CPFinT(S-AIBD) CPFinT(S-DLT) CPFinT(S-OBAPI) CPFinT(S-RT) CPFinT(A)
CORP AORP

Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

3 Dec 2024 (Tuesday)

Application DEADLINE

10 December 2024 (Tuesday)

APPLY NOW!

Enquiry :
(852) 2153 7800
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https://hkib.org/page/185

PRICE LIST	Individual Member HKD	Staff of Corporate Member HKD	Non-Member HKD
Early Bird	600	720	800
Regular Price	HKD660	HKD790	HKD880